## FEDERAL COMMUNICATIONS COMMISSION Washington, D. C. 20554

OCT 7 2004

OFFICE OF
MANAGING DIRECTOR

Richard S. Becker, Esq. Richard S. Becker & Associates, Chartered 7128 Fairfax Road Bethesda, MD 20814

Re: RCN Corporation
Request for Refund of Assignment Application Fees
Fee Control No. 0406288994131003

Dear Mr. Becker:

This letter responds to your request (dated June 24, 2004) submitted on behalf of RCN Corporation, Debtor-in-Possession (RCN DIP) for a waiver and refund of application fees submitted in connection with applications for the *pro forma* transfer of control of authorizations held by subsidiaries of the former RCN Corporation (RCN). Our records reflect that you paid the \$3,430.00 application fees at issue here.

In your request, you state that on May 27, 2004, RCN and certain non-operating subsidiaries (Subsidiaries) filed petitions (Petitions) with the United States Bankruptcy Court for the Southern District of New York (Bankruptcy Court) seeking reorganization under Chapter 11 of the Bankruptcy Code. You state that filing of the Petitions converted the legal status of RCN to RCN DIP, which in turn resulted in a pro forma transfer of control of the Subsidiaries from RCN to RCN DIP. On June 24, 2004, RCN DIP submitted the transfer of control applications at issue here to the Commission and paid the associated application fees. You submit a copy of the Bankruptcy Court's "Order under Fed[eral] R[ule] of Bank[ruptcy] P[rocedure] 1015(b) Directing Joint Administration of Cases," dated June 2, 2004, consolidating the bankruptcy cases of RCN and the Subsidiaries. You assert that a waiver of the application fees will permit RCN DIP to conserve its resources for the benefit of creditors while permitting RCN DIP to continue providing high quality communication services throughout its area of operation.

Section 1.1117 of the Commission's rules, 47 C.F.R. §1.1117, provides that filing fees may be waived upon a showing of good cause and a finding that the public interest will be served thereby. See Establishment of a Fee Collection Program to Implement the Provisions of the Consolidated Omnibus Budget Reconciliation Act of 1985, 5 FCC Rcd 3558, 3572-73 (1990). We find that the bankruptcy filing involving RCN DIP and its subsidiaries substantiates RCN DIP's claim of financial hardship and demonstrates good cause for waiver of the filing fees. See MobileMedia Corporation, 14 FCC Rcd 8017, 8027 (1999) (bankruptcy establishes good cause for waiver of filing fee). Moreover,

waiver of the filing fees will serve the public interest by protecting the interests of innocent creditors. Therefore, your request for waiver of the application filing fees in connection with the pro forma transfer of control applications is granted.

A check made payable to the maker of the original check and drawn in the amount of \$3,430.00, will be sent to you at the earliest practicable time. If you have any questions concerning this letter, please contact the Revenue and Receivables Operations Group at (202) 418-1995.

Sincerely,

Mark A. Reger

Chief Financial Officer



CHARTERED 7128 FAIRFAX ROAD BETHESDA, MD 20814

(301) 986-9005

TELECOPIER
(301) 986-8456
E-Mail - BECKERENG@AOL.COM

RICHARD S. BECKER
CHRISTOPHER A. FEDELI
OF COUNSEL
JAMES S. FINERFROCK

CONSULTING ENGINEER
SIAMAK HARANDI

June 24, 2004

#### HAND DELIVERED

Andrew S. Fishel, Managing Director Federal Communications Commission 445 12th Street, S.W. Room 1-C152 Washington, DC 20554

e: Petition For Waiver And Refund Of
Filing Fee Pursuant to 47 C.F.R. §1.1117
Involuntary, Pro Forma Transfers Of
Control From RCN Corporation To
RCN Corporation, Debtor-In-Possession

Dear Mr. Fishel:

Transmitted herewith on behalf of RCN Corporation, Debtor-In-Possession ("RCN DIP"), pursuant to 47 C.F.R. §1.1117, are one (1) original paper and four (4) paper copies of a request ("Request") for waiver and refund of the Commission filing fees imposed pursuant to Subpart G, Part 1, of the Commission's Rules, associated with the applications ("Applications") specified at Attachment 1 to the Request notifying the Commission of an involuntary, pro forma transfer of control of numerous Commission authorizations held by those subsidiaries listed at Attachment 1 (the "Subsidiaries"). As detailed in the Request, grant of the requested waivers is for good cause and will serve the public interest.

<sup>&</sup>lt;sup>1</sup>47 C.F.R. §1.1101, et seq.

Andrew S. Fishel, Managing Director Page 2

Should any questions arise with respect to this matter, please communicate directly with undersigned counsel for RCN DIP.

Respectfully submitted,

Richard S. Bécker

Attorney for RCN Corporation,

Debtor-In-Possession

#### RCN CORPORATION, DEBTOR-IN-POSSESSION

105 Carnegie Center, 3rd Floor Princeton, NJ 08540

June 22, 2004

#### HAND DELIVERED

Andrew S. Fishel, Managing Director Federal Communications Commission 445 12th Street, S.W. Room 1-C152 Washington, DC 20554

Re: Petition For Waiver Of Filing Fees, Pursuant to 47 C.F.R. §1.1117, Applicable to the *Pro Forma* Transfers Of Control of Applicants From RCN Corporation To RCN Corporation, Debtor-In-Possession

Dear Mr. Fishel:

RCN Corporation, Debtor-In-Possession ("RCN DIP"), on behalf of its subsidiaries identified below and pursuant to 47 C.F.R. §1.1117, hereby requests waiver and refund of the Commission filing fees imposed pursuant to Subpart G, Part 1, of the Commission's Rules, associated with the applications ("Applications") specified at Attachment 1 hereto advising the Commission of a pro forma transfer of control of numerous Commission authorizations held by those subsidiaries listed at Attachment 1 (the "Subsidiaries"). As detailed below, grant of the waiver requested herein is for good cause and will serve the public interest.

On May 27, 2004, RCN and certain non-operating subsidiaries filed petitions ("Petitions") with the United States Bankruptcy Court for the Southern District of New York seeking reorganization under Chapter 11.2 Filing of the Petitions served to convert the legal status of RCN to a debtor-in-possession, which in turn resulted in a pro forma transfer of control of the Subsidiaries from RCN to RCN DIP. Between June 21 and June 28, 2004, RCN DIP will submit the Applications either electronically or by paper application to the Commission. Upon filing of the Applications, the Subsidiaries will pay the filing fees

<sup>&</sup>lt;sup>1</sup>47 C.F.R. §1.1101, et seq.

<sup>&</sup>lt;sup>2</sup>Re RCN Corporation, et al., jointly administered under Case No. 04-13638 (RDD) (Bankr. S.D.N.Y).

Andrew S. Fishel, Managing Director Page 2

specified at Attachment 1 in connection with the filing of the Applications (collectively the "Filing Fees").

By this waiver request, RCN DIP seeks waiver of the Filing Fees and refund thereof. In particular, RCN DIP requests that the following fees be waived and refunded:

FCC Form 603 and 327 Applications For Wireless Transfer -- \$3,430.00.

Section 1.1117(a) of the Commission's Rules provides for waiver and/or deferral of filing fees where good cause is shown and where such waiver and/or deferral is found to promote the public interest.<sup>3</sup> The Commission has specifically held that it, "will grant waivers of ... fees on a sufficient showing of financial hardship."<sup>4</sup> In the same proceeding, the Commission expressly recognized that "[e]vidence of bankruptcy or receivership is sufficient to establish financial hardship."<sup>5</sup>

Similarly, in its decision in *MobileMedia*, <sup>6</sup> the Commission recognized that, "relief from ... fees is appropriate to enable a bankrupt company to conserve its resources for the benefit of innocent creditors as contemplated by the bankruptcy code." <sup>7</sup> The Commission went on to find that, "bankruptcy establishes good cause for waiver of the filing fee. ... Moreover, waiver of the fee will serve the public interest by enabling MobileMedia to preserve its assets that will accrue to innocent creditors." <sup>8</sup> The Commission has, therefore, ruled unambiguously that reorganization under Chapter 11 of Title 11 of the United States

<sup>&</sup>lt;sup>3</sup>47 C.F.R. §1.1117(a).

<sup>&</sup>lt;sup>4</sup>In the Matter of Implementation of Section 9 of the Communications Act, Assessment and Collection of Regulatory Fees for the 1994 Fiscal Year, Memorandum Opinion and Order, MD Docket No. 94-19, 10 FCC Rcd 12759, ¶13 (1995).

<sup>&</sup>lt;sup>5</sup>Id, 10 FCC Rcd 12759 at ¶14.

 $<sup>^6 \</sup>textit{MobileMedia Corporation et. al., } 14$  FCC Rcd 8017,  $\P\P 39-40$  (1999) (hereinafter "MobileMedia").

 $<sup>^{7}</sup>Id.$ , 14 FCC Rcd 8017 at ¶39.

<sup>&</sup>lt;sup>8</sup>Id., 14 FCC Rcd 8017 at ¶40.

Andrew S. Fishel, Managing Director Page 3

Code<sup>9</sup> satisfies the requirements of 47 C.F.R. §1.1117(a) for waiver of Commission-imposed filing fees for involuntary, pro forma applications such as the aboe-referenced Applications.

In point of fact, the Commission has on numerous occasions granted such waivers with respect to applications involving involuntary transfer of control and assignments of license in a Chapter 11 scenario. Grant of the requested waiver is therefore consistent with established Commission precedent in its treatment of bankrupt entities. Indeed, the Commission has frequently granted waiver requests similar to the waiver requested by this filing to other similarly situated carriers. 10

In the instant case, RCN DIP's Chapter 11 reorganization is precisely the type of situation in which the public interest will be served by a waiver of the Commission's filing fee requirements as requested herein. Waiver will permit RCN DIP to conserve its resources for the benefit of creditors while permitting RCN DIP to allocate its available resources for the purpose of ensuring rapid and successful completion of its reorganization without interruption to the high-quality communications service RCN provides throughout its area of operation. As such, RCN respectfully submits that, consistent with the above-specified Commission precedent, good cause exists for waiver and refund of the Filing Fees. As demonstrated herein, the public interest will be served by Commission grant of this waiver request.

Accordingly, pursuant to 47 C.F.R. §1.1117(a), RCN DIP hereby requests waiver and refund of the Filing Fees that the Subsidiaries paid with respect to filing of the Applications.

See 11 U.S.C. §1101, et. seq. (hereinafter "Chapter 11").

<sup>10</sup>E.g., Public Notice, DA 02-404 (released February 26, 2002) (granting request by Bethlehem Steel Corporation for waiver of application fees associated with pro forma assignment of 133 wireless licenses); Public Notice, DA 02-9 (released January 10, 2002) (granting request of Teligent, Inc.); and, Public Notice, DA 02-1283 (released June 3, 2002) (granting requests of Global Crossing North American Networks, Inc. and WorldCom, Inc.).

Andrew S. Fishel, Managing Director Page 4

Should any questions arise with respect to this request, please communicate directly with counsel for RCN DIP with respect to the Applications and this request, Richard S. Becker, Esquire, Richard S. Becker & Associates, Chartered, 7128 Fairfax Road, Bethesda, MD 20814 [Telephone: (301) 986-9005; Facsimile: (301) 986-8456; E-Mail: beckereng@aol.com].

Respectfully submitted,

RCN CORPORATION, DEBTOR-IN-POSSESSION

Bv:

Senior Vice President, General

Counsel and Corporate

Secretary

Attachment

### Attachment 1

RCN Subsidiary	Application	Commission Authorizations (Service - Call Signs)	File No. (If Available)	Filing Fee
RCN Telecom Services, Inc.	FCC Form 603	PLMRS <sup>1</sup> - 4; POFS <sup>2</sup> - 32	0001783746	\$1,800
RCN Cable TV of Chicago, Inc.	FCC Form 603	POFS - 12	0001783773	\$600
RCN Telecom Services of Massachusetts, Inc.	FCC Form 603	POFS - 3	0001783777	\$150
RCN Telecom Services, Inc.	FCC Form 327	CARS <sup>3</sup> - WLY 676		\$220
RCN Telecom Services, Inc.	FCC Form 327	CARS - WLY 679		\$220
RCN Telecom Services, Inc.	FCC Form 327	CARS - KD55018		\$220
RCN Telecom Services, Inc.	FCC Form 327	CARS - KN2493		\$220

<sup>&</sup>lt;sup>1</sup>Private Land Mobile Radio Service issued pursuant to Part 90 of the Commission's Rules.

<sup>&</sup>lt;sup>2</sup>Private Operational Fixed Point-to-Point Microwave Service issued pursuant to Part 101 of the Commission's Rules.

<sup>&</sup>lt;sup>3</sup>Cable Television Relay Service issued pursuant to Part 78 of the Commission's Rules.

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# FAX COVER SHEET Richard S. Becker & Associates, Chartered 7128 Fairfax Road Bethesda, MD 20814

## FAX NUMBER: (301) 986-8456

TO:	Mr. Tom Putnam
FAX NUMBER:	(202) 418-2843
COMPANY:	Office of Managing Director Federal Communications Commission
CITY/STATE:	Washington, DC
CLIENT NUMBER:	754.2
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FROM:	James S. Finerfrock, Esquire
******	***********
TOTAL NUMBER OF PAGE	S SENT, INCLUDING THIS PAGE
IF YOU HAVE NOT RECE PLEASE CALL (301) 9 NUMBER AT THE TOP OF	SIVED THE TOTAL NUMBER OF PAGES INDICATED, 86-9005. TO SEND, PLEASE USE OUR <u>DIRECT FAX</u> THIS LETTER.
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is addressed and may contain the from disclosure under appointended recipient or the to the recipient, you are copying of this communication in error, p	only for the use of the individual or entity to which it in information that is privileged, confidential and exempt plicable law. If the reader of this message is not the employee or agent responsible for delivering the message hereby notified that any dissemination, distribution or action is strictly prohibited. If you have received this please notify us immediately by telephone and return the the above address via the U.S. Postal Service. Thank you.
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SPECIAL INSTRUCTIONS	COMMENTS:
TIME FAX SENT:	DATE: <u>7/28/04</u> BY: <u>JF</u>

CHARTERED 7128 FAIRFAX ROAD BETHESDA, MD 20814

(301) 986-9005

TELECOPIER (301) 986-8456

E-MAIL - BECKERENG@AQL.COM

RICHARD S. BECKER
CHRISTOPHER A. FEDEU

OF COUNSEL
JAMES S. FINERFROCK

CONSULTING ENGINEER
SIAMAK HARANDI

July 28, 2004

## VIA FAX MACHINE

Mr. Tom Putnam Office of Managing Director Federal Communications Commission (202) 418-2843

> Re: Requested Information and Documentation Regarding Petition For Waiver And Refund By RCN Corporation, Debtor-In-Possession

Dear Tom:

Pursuant to our telephone conversation this morning, I am providing you with the following information and documentation with respect to the petition for waiver and refund of Commission filing fees ("Petition") filed by RCN Corporation, Debtor-In-Possession ("RCN DIP") on June 24, 2004:

- 1. Copies of the seven (7) FCC Forms 159 (and accompanying transmittal letters bearing Commission receipt stamps) for each of the seven (7) applications listed at Attachment 1 to the Petition. As noted therein, RCN DIP paid filing fees for each of these seven (7) applications and RCN DIP is seeking waiver and refund of those filing fees pursuant to the Petition.
- 2. The FRN for RCN Corporation (the transferor in the subject transaction) is 0003735115.
- 3. The FRN for RCN DIP (the transferee in the subject transaction) is 0011029261.
- 4. Although I did not mention it during our conversation, please note that the "Payer" FRN reflected on the enclosed Forms 159 is that of our firm, Richard S. Becker & Associates, Chartered.

Mr. Tom Putnam July 28, 2004 Page 2

I hope that this information and documentation assists you in addressing RCN DIP's Petition. If you require any further assistance, or if you have any further questions whatsoever, please do not hesitate to contact me.

Sincerely,

James S. FinerErock Attorney for RCN DIP

7128 FAIRFAX ROAD FCC/MELLEN BETHESDA, MD, 20814

JUN 25 2004

(301) 986:9005

TELECOPIER

(301) 985-8456

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RICHARD S. BECKER
CHRISTOPHER A. FEDELI
OF COUNSEL

JAMES S. FINERIPROCK

CONSULTING ENGINEER
SIAMAK HARANDI

June 24, 2004

## OVERNIGHT DELIVERY-FEDERAL EXPRESS

Federal Communications Commission P. O. Box 358994 Pittsburgh, PA 15251-5994

Re: RCN Corporation, Debtor-in-Possession

Remittance Advice for the Application for Involuntary

Transfer of Control

Licensee: RCN Telecom Services.

Inc.

Lead Call Sign: KVU 535 File No.: 0001783746

Dear Sir/Madam:

Transmitted herewith on behalf of RCN Corporation, Debtor-in-Possession is an application Remittance Advice (FCC Form 159) for submission of the FCC filing fee in connection with the electronic filing of the above-referenced application (FCC Form 603). The electronic application (FCC Form 603) was filed with the Commission on June 24, 2004.

In addition, enclosed is a check payable to the Federal Communications Commission in the amount of One Thousand And Eight Hundred Dollars (\$1800.00).

Should any questions arise with respect to this matter, please communicate directly with this office.

Very truly yours,

Richard S. Becker

Attorney for RCN Corporation.

Debtor-in-Possession

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(14) STREET ADDRESS LINE NO.						
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(12) APPLICANT NAME	TTANCE ADVICE (CO	NTINATIO	ON SHEET)		3060
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RCN Corporation, De	btor-in-Possession				
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(23B) CALL SIGN/OTFLER ID	(248) PAYMENT TY	00	01783746		
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105 Carnegie Center	2nd Ct.			
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(19) DAYTIME TELEPHONE NUM	BER Gast		(17) STATE (1)	B) ZIP CODE
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OC) CALL SIGN/OTHER ID	(240) 2410	0001783746	•	
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7128 FAIRFAX ROAD BETHESDA, MD 20814 JUN 25 2004

(301) 986-9005

TELECOPIER (301) 966-8456 E-MAIL - BECKERENGEADL, COM

RICHARD S. BECKER CHRISTOPHER A. FEDELI OF COUNSEL JAMES S. FINERFROCK

CONSULTING ENGINEER SIAMAK HARANDI

June 24, 2004

## OVERNIGHT DELIVERY-FEDERAL EXPRESS

Federal Communications Commission P. O. Box 358994 Pittsburgh, PA 15251-5994

Re: RCN Corporation, Debtor-in-

Possession

Remittance Advice for the Application for Involuntary

Transfer of Control

Licensee: RCN Cable TV of Chicago,

Lead Call Sign: WNTP 370 File No.: 0001783773

Dear Sir/Madam:

Transmitted herewith on behalf of RCN Corporation, Debtor-in-Possession is an application Remittance Advice (FCC Form 159) for submission of the FCC filing fee in connection with the electronic filing of the above-referenced application (FCC Form 603). electronic application (FCC Form 603) was filed with the Commission on June 24, 2004.

In addition, enclosed is a check payable to the Federal Communications Commission in the amount of Six Hundred Dollars (\$600.00).

Should any questions arise with respect to this matter, please communicate directly with this office.

Very truly yours,

Richard S. Becker

Attorney for RCN Corporation,

Debtor-in-Possession

read instructions cla before proceeding					
	Federal REM	COMMUNICATIONS COMM	112210%		Approved by
(I) LOCKBOX# 358994	<del></del>	TARRESCE MIDVIC	D.		3060 Page 1,
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(5) STREET ADDRESS LINE NO.	2				
	•				
(6) CITY Bethesda		<del></del>	(7) STATE	176	
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CHARTERED
7128 FAIRFAX ROAD TO MELLEN
BETHESDA, MD 20814

JUN 25 2004

(301) 986-9005

TELECOPIER

(301) 986-9456

E-MAIL - BECKENENGBADL COM

RICHARD S. BECKER
CHRISTOPHER A. FEDELI
OF COUNSEL
JAMES S. FINEPEROCK

CONSULTING ENGINEER
SIAMAK HARANDI

June 24, 2004

## OVERNIGHT DELIVERY-FEDERAL EXPRESS

Federal Communications Commission P. O. Box 358994 Pittsburgh, PA 15251-5994

Re: RCN Corporation, Debtor-in-

Possession

Remittance Advice for the Application for Involuntary

Transfer of Control

Licensee: RCN Telecom Services of

Massachusetts, Inc. Lead Call Sign: WPNF 302 File No.: 0001783777

Dear Sir/Madam:

Transmitted herewith on behalf of RCN Corporation, Debtor-in-Possession is an application Remittance Advice (FCC Form 159) for submission of the FCC filing fee in connection with the electronic filing of the above-referenced application (FCC Form 603). The electronic application (FCC Form 603) was filed with the Commission on June 24, 2004.

In addition, enclosed is a check payable to the Federal Communications Commission in the amount of One Hundred And Fifty Dollars (\$150.00).

Should any questions arise with respect to this matter, please communicate directly with this office.

Very truly yours,

Richard S. Becker

Attorney for RCN Corporation,

Debtor-in-Possession